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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/587,118	07/21/2006	Gabor Butora	21540P	3173
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EXAMINER				
WILLIS, DOUGLAS M				
ART UNIT		PAPER NUMBER		
1624				
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09/01/2009		PAPER		

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary

Application No.

10/587,118

Applicant(s)

BUTORA ET AL.

Examiner

DOUGLAS M. WILLIS

Art Unit

1624

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 1 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 21 July 2006.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-27 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☐ Claim(s) _____ is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☒ Claim(s) 1-27 are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO/SF/ICE)
Paper No(s)/Mail Date _____
- 4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date _____
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: _____

DETAILED ACTION

Status of the Claims / Priority

Claims 1-27 are pending in the current application. This application is a 35 U.S.C. § 371 National Stage Filing of International Application No. PCT/US2005/002454, filed January 26, 2005, which claims priority under 35 U.S.C. § 119(c) to US Provisional Application No. 60/539,691, filed January 28, 2004.

Restrictions

Restriction is required under 35 U.S.C. § 121 and § 372.

This application contains the following inventions or groups of inventions which are not so linked as to form a single general inventive concept under PCT Rule 13.1.

In accordance with 37 CFR 1.499, applicant is required, in reply to this action, to elect a single invention to which the claims must be restricted.

Group I, claims 1-24, drawn to substituted pyrido[1,2-a]pyrazinones and pharmaceutical compositions of the formula I or formula II, where E = -C-; E = -C-; and Z = -C-.

NOTE: *Claims 1 and 24 are generic for Group I. If Group I is elected, applicant must elect a provisional species, **for searching purposes and prosecution on the merits only**, clearly identifying a substituted pyrido[1,2-a]pyrazinone or pharmaceutical composition of the formula I or formula II, including a detailed explanation of how all variables of the formula I or formula II are read upon and what claims are readable upon the species.*

Group II, claims 1, 4, 5, 7-22 and 24, drawn to substituted heterocycles and pharmaceutical compositions of the formula I or formula II, where the combination of E and Z, as recited, is not as defined above.

NOTE: *Claims 1 and 24 are generic for Group II. If Group II is elected, applicant must elect a provisional species, **for searching purposes and prosecution on the merits only**, clearly identifying a substituted heterocycle or pharmaceutical composition of the formula I or formula II, including a detailed explanation of how all variables of the formula I or formula II are read upon and what claims are readable upon the species.*

Also, scope is restricted to Group II, once defined.

Group III, claim 25, drawn to a method for modulation of chemokine receptor activity in a mammal, comprising administering... a substituted heterocycle of the formula I or formula II.

NOTE: *Claim 25 is generic for Group III. If Group III is elected, applicant must elect a provisional species, **for searching purposes and prosecution on the merits only**, clearly identifying a substituted heterocycle of the formula I or formula II, including a detailed explanation of how all variables of the formula I or formula II are read upon and what claims are readable upon the species. Also, scope is restricted to one of the compound groups listed as Group I or Group II above.*

Group IV, claim 26, drawn to a method for treating, ameliorating, controlling or reducing the risk of an inflammatory and immunoregulatory disorder or disease, comprising administering... a substituted heterocycle of the formula I or formula II.

NOTE: *Claim 26 is generic for Group IV. If Group IV is elected, applicant must elect a provisional species, **for searching purposes and prosecution on the merits only**, clearly identifying a substituted heterocycle of the formula I or formula II, including a detailed explanation of how all variables of the formula I or formula II are read upon and what claims are readable upon the species. Also, scope is restricted to one of the compound groups listed as Group I or Group II above.*

Group V, claim 27, drawn to a method for treating, ameliorating, controlling or reducing the risk of rheumatoid arthritis, comprising administering... a substituted heterocycle of the formula I or formula II.

NOTE: *Claim 27 is generic for Group V. If Group V is elected, applicant must elect a provisional species, **for searching purposes and prosecution on the merits only**, clearly identifying a substituted heterocycle of the formula I or formula II, including a detailed explanation of how all variables of the formula I or formula II are read upon and what claims are readable upon the species. Also, scope is restricted to one of the compound groups listed as Group I or Group II above.*

As set forth in Rule 13.1 of the Patent Cooperation Treaty (PCT), *the international application shall relate to one invention only or to a group of inventions*. Moreover, as stated in PCT Rule 13.2, the requirement of unity of invention referred to in PCT Rule 13.1 shall be fulfilled *where a group of inventions is claimed in one and the same international application only when there is a technical relationship among those inventions involving one or more of the*

same or corresponding special technical features. The expression *special technical features* shall mean those technical features that define a contribution which each of the claimed inventions, considered as a whole, makes over the prior art, so linked, as to form a single general inventive concept.

The inventions listed as Groups I-V do not relate to a single general inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding *special technical feature* for the following reason: lack of unity of invention may be directly evident *a priori*, that is, before considering the claims in relation to any prior art. For example, independent claims to A + X, A + Y and X + Y can be said to lack unity *a priori* as there is no subject matter common to all claims. The examiner asserts that Groups I-V, as recited in claims 1-27, respectively, lack a common nucleus or core. Consequently, Groups I-V do not relate to a single general inventive concept under PCT Rule 13.1.

Rejoinder

The examiner has required restriction between product and process claims. Where applicant elects claims directed to the product, and the product claims are subsequently found allowable, withdrawn process claims that depend from or otherwise require all the limitations of the allowable product claim will be considered for rejoinder. All claims directed to a nonelected process invention must require all the limitations of an allowable product claim for that process invention to be rejoined.

In the event of rejoinder, the requirement for restriction between the product claims and the rejoined process claims will be withdrawn, and the rejoined process claims will be fully examined for patentability in accordance with 37 CFR 1.104. Thus, to be allowable, the rejoined

claims must meet all criteria for patentability including the requirements of 35 U.S.C. § 101, 102, 103 and 112. Until all claims to the elected product are found allowable, an otherwise proper restriction requirement between product claims and process claims may be maintained. Withdrawn process claims that are not commensurate in scope with an allowable product claim will not be rejoined. See MPEP § 821.04(b). Additionally, in order to retain the right to rejoinder in accordance with the above policy, applicant is advised that the process claims should be amended during prosecution to require the limitations of the product claims. **Failure to do so may result in a loss of the right to rejoinder.** Further, note that the prohibition against double patenting rejections of 35 U.S.C. § 121 does not apply where the restriction requirement is withdrawn by the examiner before the patent issues. See MPEP § 804.01.

Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a request under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(i).

Election of Species

This application contains claims directed to more than one species of the generic invention. These species are deemed to lack unity of invention because they are not so linked as to form a single general inventive concept under PCT Rule 13.1.

The species are listed above in *Restrictions*.

The claims are deemed to correspond to the species listed above in the following manner:

Group I - claims 2-23; Group II - claims 4, 5 and 7-22; Group III - claim 25; Group IV -

claim 26; and Group V - claim 27.

The following claims are generic:

Groups I and II - claims 1 and 24; Group III - claim 25; Group IV - claim 26; and Group V - claim 27.

The species listed above do not relate to a single general inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, the species lack the same or corresponding *special technical feature* for the following reason: lack of unity of invention may be directly evident *a priori*, that is, before considering the claims in relation to any prior art. For example, independent claims to **A + X**, **A + Y** and **X + Y** can be said to lack unity *a priori* as there is no subject matter common to all claims. The examiner asserts that Groups I-V, as recited in claims 1-27, respectively, lack a common nucleus or core. Consequently, Groups I-V do not relate to a single general inventive concept under PCT Rule 13.1.

There is an examination and search burden for these patentably distinct species due to their mutually exclusive characteristics. The species require a different field of search (e.g., searching different classes/subclasses or electronic resources, or employing different search queries); and/or the prior art applicable to one species would not likely be applicable to another species; and/or the species are likely to raise different non-prior art issues under 35 U.S.C. § 101 and/or 35 U.S.C. § 112, first paragraph.

The election of an invention or species may be made with or without traverse. To preserve a right to petition, the election must be made with traverse. If the reply does not distinctly and specifically point out supposed errors in the restriction requirement, the election shall be treated as an election without traverse.

Should applicant traverse on the ground that the inventions or species are not patentably

distinct, applicant should submit evidence or identify such evidence now of record showing the inventions or species to be obvious variants or clearly admit on the record that this is the case. In either instance, if the examiner finds one of the inventions unpatentable over the prior art, the evidence or admission may be used in a rejection under 35 U.S.C. § 103(a) of the other invention.

Applicant is required, in reply to this action, to elect a single species, *for searching purposes and prosecution on the merits only*, to which the claims shall be restricted if no generic claim is finally held to be allowable. The reply must also identify the claims readable on the elected species, including any claims subsequently added. An argument that a claim is allowable or that all claims are generic is considered non-responsive unless accompanied by an election.

Upon the allowance of a generic claim, applicant will be entitled to consideration of claims to additional species which are written in dependent form or otherwise include all the limitations of an allowed generic claim as provided by 37 CFR 1.141. If claims are added after the election, applicant must indicate which are readable upon the elected species. MPEP § 809.02(a).

Applicant is advised that the reply to this requirement to be complete must include: **(i) an election of a species or invention to be examined even though the requirement may be traversed (37 CFR 1.143) and (ii) identification of the claims encompassing the elected invention.**

Conclusion

Any inquiry concerning this communication or earlier communications from the

examiner should be directed to DOUGLAS M. WILLIS, whose telephone number is 571-270-5757. The examiner can normally be reached on Monday thru Thursday from 8:00-6:00 EST. The examiner can also be reached on alternate Fridays.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Mr. James O. Wilson, can be reached on 571-272-0661. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/DOUGLAS M WILLIS/
Examiner, Art Unit 1624

**/James O. Wilson/
Supervisory Patent examiner, AU 1624**